

PHARMACEUTICAL QUALITY ASSURANCE

UNIT 3 NOTES

QUALITY CONTROL

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QUALITY CONTROL TEST

- Quality Control Test is a procedure used to check & ensure that a product meets specified standards of quality, safety & performance.
- Quality control tests for containers, rubber closers & packaging materials are performed to ensure that they do not interact with the drug & maintain its quality, stability & safety throughout storage & use.

PACKAGING MATERIALS

- Packaging materials in pharmaceuticals are used to protect the drug from environmental factors like light, moisture & contamination, and to ensure safe storage, transport & proper identification of the product.

TYPES OF PACKAGING MATERIALS

Packaging Materials can be classified in 3 categories:

- ① Primary packaging
- ② Secondary packaging
- ③ Tertiary packaging

① PRIMARY PACKAGING

- Directly in contact with the drug.
- Provides main protection
- Examples:
 - Tablets → blister packs
 - Syrups → bottles
 - Injections → vials, ampoules

② SECONDARY PACKAGING

- Outer covering of primary package
- Provides additional protection & labeling
- Examples:
 - Carton boxes
 - Leaflets

③ TERTIARY PACKAGING

- Used for bulk handling & transportation
- Protects during shipping
- Examples:
 - Corrugated boxes
 - Containers

CONTAINERS

- A container is device that holds a p'ceutical product & protects it, from external factors like moisture, light & contamination.
- Most common types of materials used for containers are:
 - ① Glass
 - ② Plastic
 - ③ Metal

GLASS

- Glass is most commonly used packaging material in p'ceuticals because it is chemically inert, impermeable & transparent, making it suitable for storing many drug product safely.

Properties of Glass

- It is non - reactive & does not interact with most drugs.
- It is impermeable to gases & moisture.
- Provides good protection & stability.
- Can be transparent or amber (light - resistant).
- Easily sterilized & can be reused.

TYPES OF GLASS

There are mainly 4 types of Glass containers used in p'ceutical packaging:

- ① Type I
- ② Type II
- ③ Type III
- ④ Type IV

① TYPE I (BOROSILICATE GLASS)

- Contains silica + boron oxide
- Very high chemical & thermal resistance
- Does not release alkali into solution
- Used for parenterals, injections, sensitive drugs

② TYPE II (TREATED SODA-LIME GLASS)

- Surface treated with sulphur dioxide to reduce alkalinity
- Good chemical resistance (less than Type I)
- Suitable for acidic & neutral aqueous preparations
- Commonly used for IV fluids & injections

③ TYPE III (SODA-LIME GLASS)

- Contains sodium & calcium
- Moderate chemical resistance
- Can release small amount of alkali
- Used for oral preparations like syrups & tablets.

④ TYPE IV (GENERAL PURPOSE GLASS)

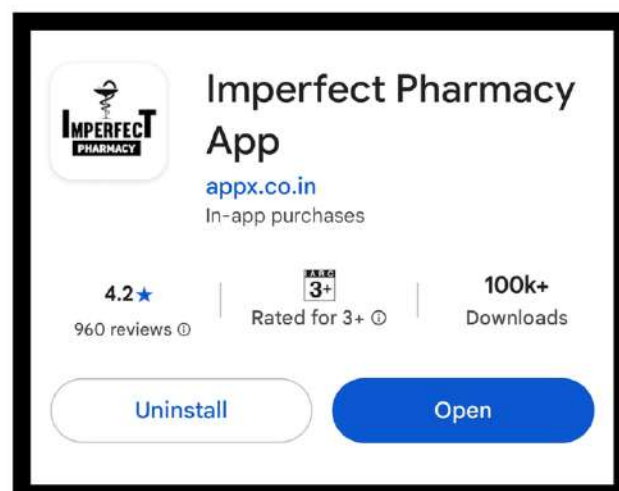
- Lowest chemical resistance
- Not suitable for parenteral use
- Used for non-pharmaceutical or external preparations.

QUALITY CONTROL TEST FOR GLASS

- Following quality control tests are performed to check quality, safety & stability of glass.
- ① Chemical Resistance Test
- ② Powder glass
- ③ Water Attack
- ④ Hydraulic Resistance Test
- ⑤ Light Transmission Test
- ⑥ Thermal Shock Test
- ⑦ Internal Bursting Pressure Test
- ⑧ Leakage Test

④ CHEMICAL RESISTANCE TEST

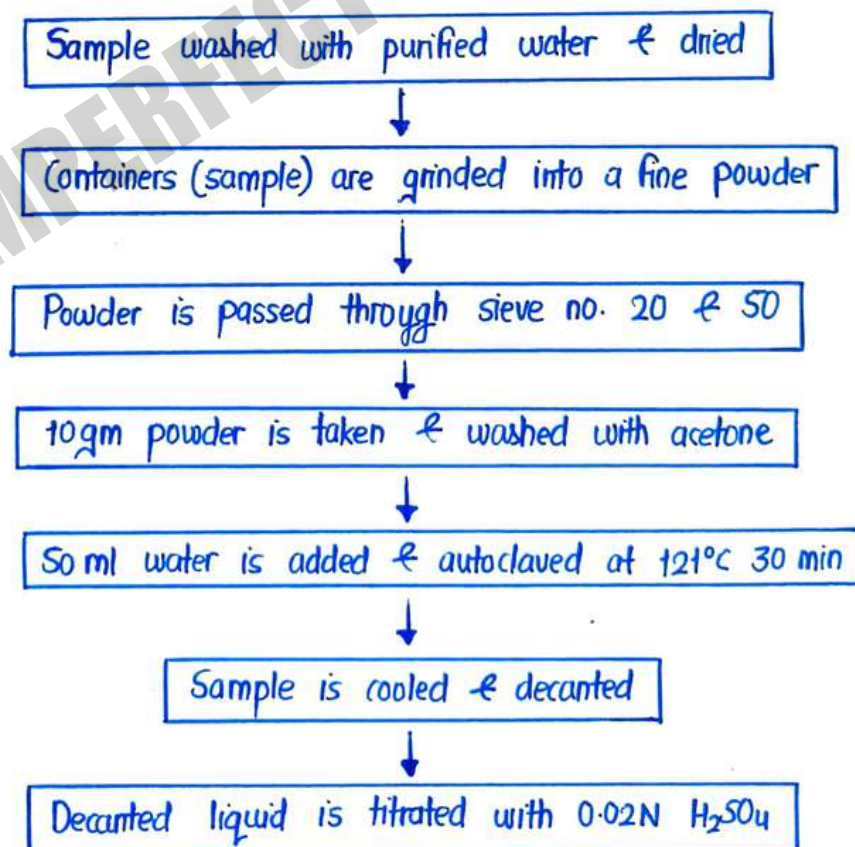
- It determines the ability of glass to resist chemical attack (i.e., amount of alkali released).
- It is of two types:
 - ① Powdered Glass Test
 - ② Water Attack Test



① Powdered Glass Test

- Glass containers are washed with purified water.
- Containers are crushed using mortar & pestle and converted into fine powder.
- Powder is passed through sieve no. 20 & 50 to obtain uniform particle size.
- About 10g powder is taken.
- Washed with acetone to remove impurities
- Add 50ml purified water to powder & Autoclave at 121°C for 30 minutes.
- Sample is cooled, liquid is separated (decanted)
- Separated liquid is titrated with $0.02\text{ N H}_2\text{SO}_4$.

Note : Alternatively you can explain it as a flowchart as follows:



LIMITS

Test	Container	Vol. of 0.02N H_2SO_4
Powdered Glass Test	Type I (borosilicate glass)	1.0
	Type II (soda-lime glass)	8.5
	Type N.P. (general purpose soda-lime glass)	15.0

⑥ Water Attack Test

- It is mainly used for type II glass.
- Whole (unbroken) container is filled with distilled water upto 90% of its capacity.
- It is then autoclaved at $121^\circ C$ for 30 min.
- Sample is cooled & liquid is separated
- Separated liquid is titrated using 0.02N H_2SO_4 .
- Volume of H_2SO_4 consumed is recorded & compared with limits.

LIMITS

Test	Container	Vol of 0.02N H_2SO_4 (ml)
Water Attack Test	Type II	
	Size - 100 ml	0.1
	Less than 100 ml	0.2

② HYDROLIC RESISTANT TEST

- It measure amount of alkali releases when in contact with water.

Procedure

- Rinse containers with CO_2 - free water (3 times).
- Fill with same water & autoclave:
 - 100°C for 10 min
 - Then raise temperature to 121°C over 20 min
 - Maintain 121°C for 60 min
 - The lower the temperature from 121°C to 100°C over 40 min.
- Titrate with 0.01M HCl (methyl red)
- Perform a blank test.
- Volume of HCl used is directly related to amount of alkali released.

③ LIGHT TRANSMISSION TEST

- This test measures the amount of light passing through glass, especially UV light.
- It ensures that glass can protect light-sensitive drugs.

Procedure

- Glass container is placed in a spectrophotometer.
- Light is passed through the glass.
- % transmittance is measured at specific wavelengths.
- Low light transmission (especially UV) $\rightarrow$ Good protection.

④ THERMAL SHOCK TEST

- It determines the ability of glass containers to withstand sudden temperature changes without breaking.

Procedure

- Glass containers are first heated to a high temperature
- They're suddenly cooled (usually by cold water)
- The containers are observed for cracks or breakage.
- No cracks → Pass (good quality).

⑤ INTERNAL BURSTING PRESSURE TEST

- It determines the ability of glass containers to withstand internal pressure without bursting.

Procedure

- The glass container is filled with liquid (usually water)
- It is connected with a device named American Glass Research Increment Pressure Tester

Research Increment Pressure Tester

- Internal pressure is gradually increased.
- Process continues until the container bursts or fails.
- High pressure tolerance → Strong containers.

⑥ LEAKAGE TEST

- Leakage test is used check whether a glass container is properly sealed & free from leakage.

Procedure

- Containers are filled with water.
- Filled bottles are sealed, inverted & observed for 24 hours at room temperature for any signs of liquid leakage.
- No leakage → Pass

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PLASTIC CONTAINERS

- Plastic containers are packaging systems made from polymeric materials (such as polyethylene, polypropylene or PVC) used to store, protect & deliver pharmaceutical products.
- They're designed to ensure stability, safety & compatibility of the drug during storage, transportation & use.

QUALITY CONTROL TEST FOR PLASTIC CONTAINERS

- ① Leakage Test
- ② Collapsibility Test
- ③ Clarity of Aqueous Extract
- ④ Transparency Test
- ⑤ Eye Irritation Test

① LEAKAGE TEST

- A properly sealed plastic container should not allow any liquid to leak under pressure or vacuum.

Procedure

- Fill the container with coloured water.
- Close it tightly with its cap.
- Place the container in a vacuum chamber or keep them inverted at room temperature for 24 hours.
- Observe for leakage or formation of bubbles.
- No leakage or bubbles should be observed.

② COLLAPSIBILITY TEST

- The container should collapse uniformly when pressure is applied so that the contents can be easily dispensed.

Procedure

- Fill the container with water or product.
- Apply pressure manually or mechanically.
- The container should collapse smoothly & yield (release) 90% of its content at required rate or flow.

③ CLARITY OF AQUEOUS EXTRACT

- Plastic materials should not release insoluble particles into aqueous solutions.

Procedure

- Cut the plastic container into strips with area less than 20 cm².
- Wash the sample with distilled water to remove any extraneous matter (impurity).
- Transfer the sample to flask (previously washed with chromic acid).
- Add 250 ml distilled water to the flask & autoclave at 121°C for 30 min.
- Cool & filter the solution.
- The solution should be clear & free from turbidity.

④ TRANSPARENCY TEST

- Transparent plastic containers should allow clear visibility of contents.

Procedure

- Take an empty container.
- Place a standard object or printed text behind it.
- Observe through the container under proper light.
- The object / text should be clearly visible without distortion.

⑤ EYE IRRITATION TEST

- This test is used to check whether the extract of a plastic container causes irritation in the eye.

Procedure

- Healthy albino rabbits are selected (usually 3)
- 100 μ L of sterile water (blank) is instilled into one eye.
- 100 μ L of the sample extract is instilled into the other eye.
- The eyes are observed at 24, 48 & 72 hours.
- Signs of irritation like redness & swelling are noted.
- Sample extract should not show any significant irritation compared to the blank.

METAL CONTAINERS

- Metal Containers are packaging containers made of metals such as aluminium or tin-plated steel, used for storing & protecting pharmaceutical products like ointments, creams & aerosols.

QUALITY CONTROL TEST FOR METALS

- The tests check the presence of metal particles in metal collapsible tubes used for eye ointments.

Procedure

- Take 50 tubes & clean them properly.
- Fill tubes with molten ointment base & seal them.
- Allow them to cool overnight (15-20°C).
- Ointment is removed & A metal filter assembly is heated to melt the ointment base.
- Melted ointment filtered using a filter paper.
- Remove & dry the filter paper and observe under magnifying glass.
- Count metal particles of different sizes :
 - ≥ 1 mm
 - 0.5 - 1 mm
 - 0.2 - 0.5 mm

Each metal particle detected on the filter paper is given a score as follows :

- | | |
|---------------------------------------|-----|
| • Particles 1 mm & above | 50 |
| • Particles 0.5mm but less than 1mm | 10 |
| • Particles 0.2mm but less than 0.5mm | 2 |
| • Particles less than 0.2mm | nil |

LIMIT

- IF total score is <100 = Pass
- IF total score is >150 = fail
- IF score is 100-150 = repeat

CLOSURES

- Closures are devices used to seal a container in order to protect the contents from contamination, leakage & loss.
- Following Materials are used in closure:
 - Rubber closures
 - Plastic closures
 - Metal closures

NOTE: In our syllabus, we only need to study about "Rubber closures".

RUBBER CLOSURES

- Rubber closures are flexible sealing devices made of natural or synthetic rubber, used to close pharmaceutical containers like vials & bottles to prevent contamination & leakage.
- They provide good sealing & flexibility.

QUALITY CONTROL TEST FOR RUBBER CLOSURES

- ① Residue on Evaporation
- ② pH of Aqueous Extract
- ③ Sterility Test
- ④ Fragmentation Test
- ⑤ Self-sealability Test
- ⑥ Penetrability Test

① RESIDUE ON EVAPORATION

- This test checks how much non-volatile (solid) impurity is left after evaporating the sample solⁿ.

Procedure

- Closures are washed with 0.2% w/v anionic surfactant for 5 minutes.
- Rinsed with distilled water for 5 minutes & transferred in 200 ml solvent
- Autoclaved at 119 - 123°C for 20-30 minutes (covered with aluminium foil)
- Cooled & the solⁿ is separated → this is the sample solution.
- Take 50 ml of the prepared sample solⁿ.
- Evaporate at 105°C until dry
- Measure the residue left
- Residue should be not more than 4 mg.

② PH OF AQUEOUS EXTRACT

- It is the measurement of acidity or alkalinity of the aqueous extract obtained from packaging material (closures) to ensure it does not alter the pH of the pharmaceutical product.

Procedure

- First sample solⁿ is prepared same as mentioned in residue of evaporation.
- 20 ml of sample is taken & 0.1 ml of bromomethyl blue is added to it.
- Sample solⁿ is titrated with 0.01M NaOH till colour changes from yellow to blue.
- Volume of NaOH consumed should not be more than 0.3 ml.

③ STERILITY TEST

- This test checks whether the closure is free from micro-organisms & can maintain sterility.

Procedure

- Closures are first sterilized (usually by autoclaving)
- Then they are placed in sterile culture media
- The media is incubated for a specific time (usually a few days)
- Observe for any microbial growth (turbidity / cloudiness)
- No turbidity → Test pass

④ FRAGMENTATION TEST

- This test checks whether rubber closures release small particles (fragments) when pierced by a needle.

Procedure

- Take 12 vials with about 4ml water & close them with closures.
- Keep them for 16 hours.
- Pierce each closure using a hypodermic needle.
- Inject 1 mL water & remove 1 mL air.
- Repeat this 4 times (using a new needle each time).
- Filter the water using a 0.5 μ m filter.
- Count the rubber fragments on the filter.

LIMITS

- Not more than 10 fragments (for butyl rubber)
- Not more than 5 fragments (others)

⑤ SELF - SEALABILITY TEST

- It is a test used to check whether a closure can reseal itself after repeated needle punctures without leakage.

Procedure

- Take 10 vials & fill them with water (nominal volume).
- Close them with the closures.
- Pierce each closure 10 times at different sites using a hypodermic needle.
- Immerse the vials in 0.1% w/v methylene blue solⁿ under pressure.
- Keep them immersed for 30 minutes.
- Remove & wash the vials, then check for entry of dye inside.
- No blue colour inside the vials → Test pass.

⑥ PENETRABILITY TEST

- It is a test used to measure the force required for a hypodermic needle to penetrate (pierce) a closure.

Procedure

- Fix the closure on a vial & place it in a piercing machine.
- A hypodermic needle is positioned above the closure.
- The machine pushes the needle through the closure at a constant speed.
- The force required to pierce the closure is measured & recorded.
- The force should be within specified limits otherwise needle can get damaged.

SECONDARY PACKAGING

- Secondary packaging is the outer packaging that holds one or more primary containers (like bottles, blister packs, vials)
- It is not directly in contact of the drug product.

FUNCTIONS

- Provides additional protection from physical damage
- Helps in grouping & handling of products.
- Provides labeling & information (branding, instructions, batch no, expiry)
- Improves transportation & storage.

MATERIALS FOR SECONDARY PACKAGING

The most commonly used materials for secondary packagings are :

- ① Paper & Boards
- ② Cartons
- ③ Corrugated Fiberboard

QUALITY CONTROL TEST FOR SECONDARY PACKAGING

- ① Grammage
- ② Thickness
- ③ Moisture Content
- ④ Folding Endurance
- ⑤ Bursting Strength
- ⑥ Water Absorption / Cobb's Test
- ⑦ Tear Resistant Test
- ⑧ Puncture Resistant Test
- ⑨ Box compression Test
- ⑩ Drop test

① GRAMMAGE

- It measures the weight of paper per unit area (GSM).
- Indicates strength & quality of paper.
- High GSM → strong paper ; Low GSM → Weak paper
- Calculated by weighing a known area sample.

② THICKNESS

- It measures the thickness of paper or board.
- Affects strength, stiffness & printing quality.
- Uniform thickness ensures good packaging.
- Measured using a micrometer screw gauge.

③ MOISTURE CONTENT

- It determines the amount of water present in paper.
- High moisture weakens paper & cause damage.
- Low moisture makes paper brittle.
- Calculated by drying & weighing difference.

④ FOLDING ENDURANCE

- It shows how many times paper can be folded without breaking.
- Indicates flexibility & durability.
- Important for cartons & labels.
- Measured using folding endurance tester.

⑤ BURSTING STRENGTH

- It measures resistance of paper to bursting under pressure.
- High value means strong paper.
- Important for packaging safety.
- Measured using bursting strength tester.

⑥ WATER ABSORPTION TEST

- It measures how much water is absorbed by paper.
- High absorption reduces strength.
- Low absorption gives better protection.
- Determined by weight difference after water exposure.

⑦ TEAR RESISTANCE TEST

- It measures force required to tear the paper.
- High resistance means strong material.
- Important for handling & transport.
- Measured using tear tester.

⑧ PUNCTURE RESISTANCE TEST

- It checks resistant against sharp object penetration.
- Prevent damage during transport.
- High value means better protection.
- Measured by applying force with a probe.

⑨ BOX COMPRESSION TEST

- It measures how much load a box can withstand before collapsing.
- Important for stacking during storage.
- High strength prevents damage.
- Measured using compression machine.

⑩ DROP TEST

- It evaluates package safety when dropped from height.
- Simulates real handling conditions.
- Checks damage at corners, edges & surfaces.
- Used to ensure product protection.

GOOD LABORATORY PRACTICES

- Good Laboratory Practices (GLP) are set of rules & guidelines that ensure the quality, reliability & integrity of Non-clinical Laboratory studies.
- GLP helps in maintaining proper documentation, safety & standard procedures during experiments.
- It is especially important for studies related to drug safety, toxicity testing & regulatory submissions during Non-clinical trials.

OBJECTIVES OF GLP

- To ensure high-quality & reliable data from laboratory studies.
- To maintain consistency & reproducibility of experimental results.
- To provide proper documentation & traceability of all activities.
- To ensure safety of personnel & environment.
- To avoid errors, fraud & data manipulation.
- To meet regulatory requirements for approval of drugs.
- To increase Global acceptance.

GENERAL PROVISIONS

- ① Organization & Management
- ② Personnel
- ③ Facilities
- ④ Equipments
- ⑤ Test & Control Articles

① ORGANIZATION & MANAGEMENT

- The laboratory should have a clear organizational structure with defined roles & responsibilities.
- It includes:

a) STUDY DIRECTOR

- Study director is the main person responsible for the whole study.
- He/She ensures that the study is done according to GLP rules.
- Approves the study plan & final report.
- Responsible for accuracy & reliability of data.

b) MANAGEMENT

- Management provides resources, staff & facilities.
- They ensure that the lab follow GLP guidelines.
- Appoints qualified personnel & Study Director.
- Responsible for maintaining proper working environment.

c) QA UNIT

- QA unit checks that all work is done according to GLP.
- It is an independent team.
- Performs inspection & audits regularly.

② PERSONNEL

- All staff should be qualified, trained & experienced.
- Personnel must have clear roles & responsibilities.
- Regular training programs should be conducted.
- Staff must follow SOPs, Proper hygiene & safety measures.
- Records of training & work must be maintained.

③ FACILITIES

- A good laboratory must have following facilities:

a) GENERAL FACILITIES

- Lab should be clean, well organized & properly designed.
- Enough space should be available to avoid mix-ups.
- Proper lighting, ventilation & cleanliness must be maintained.

b) TESTING FACILITIES

- There must be separate areas for different types of testing.
- Prevent contamination & errors
- Temperature & humidity should be controlled.

c) WASTE DISPOSAL

- There must be proper system for safe disposal of waste
- Hazardous waste should be handled carefully.
- Follow environmental & safety rules.

d) ANIMAL CARE FACILITIES

- Animals should be kept in clean & safe environment.
- Proper food, water & care should be given.
- Conditions like temperature & hygiene should be maintained.
- Ethical rules for animal handling must be followed.

④ EQUIPMENTS

- Equipment should be suitable for its use.
- Regular calibration & validation must be done.
- Equipment should be cleaned & maintained properly.
- SOPs should be available for operation of each equipment.
- Faulty equipment should be labeled & not used.
- Records of use, storage & disposal must be maintained.

⑤ TEST & CONTROL ARTICLES

- Test & Control Articles should be labelled properly.
- Laboratory should maintain records of name, batch, & purity information.
- Facility must store articles under process conditions.
- Personnel should handle substances according to SOPs.
- Staff should check stability & uniformity of articles.
- Record of uses, storage & disposal must be maintained.

Non - Clinical Laboratory Studies

- Non-clinical laboratory studies are laboratory experimental in which scientist evaluates the safety & effectiveness of new drug substances by testing it on animals, cells or tissues before using it on humans.
- It is done before Clinical trials.
- It is simply known as 'Non-Clinical Trials'.

PROTOCOL FOR NON CLINICAL STUDIES

- A protocol is a written & approved plan that describes how we'll conduct a non-clinical laboratory studies.
- It helps ensure accuracy, safety & GLP compliance.

CONTENTS OF PROTOCOL

- Identification
- Title & Purpose
- Names & Address
- Name of study director & personnel
- Proposed dates
- Justification of selection of the test system
- Description of the test system
- Experimental design

① IDENTIFICATION

- Each study is assigned a unique protocol number or code.
- It helps in tracking, documentation & record keeping.

② TITLE & PURPOSE

- Title clearly defines the study (e.g., acute toxicity of drug x).
- Purpose explains why the study is conducted.
- Example: To evaluate safety or toxic effects of drugs.

③ NAME & ADDRESS

- Name & Address of sponsor and test site must be recorded for accountability & traceability.
- Sponsor: Organization funding the study
- Test site: Where the study is conducted.

④ NAME OF STUDY DIRECTOR & PERSONNEL

- Study director is overall responsible for the study.
- Personnel includes other staff such as Technicians, scientists
- Their roles & responsibilities must be defined clearly.

⑤ PROPOSED DATES

- Start date & expected completion date must be mentioned.
- It helps in planning & monitoring process.

⑥ JUSTIFICATION FOR SELECTION OF TEST SYSTEM

- Explains why a particular animal species, organ or cell line is selected.
- Example: Rats chosen due to similarity in metabolism with humans.

⑦ DESCRIPTION OF TEST SYSTEM

- It contains detailed information about:
 - Species/strain
 - Age
 - Weight
 - Sex
 - Health condition
- It ensures uniformity & reproducibility of results.

⑧ EXPERIMENTAL DESIGN

- This is the most important part of the protocol.
- It includes:
 - Number of groups (control & treated)
 - Dose levels & frequency
 - Routes of administration (oral, IV, etc)
 - Duration of study
 - Parameters to be observed (toxicity, behaviour, biochemical tests)
- It ensures systematic & scientific execution of the study.

RECORDS & REPORTS

RECORDS

- Records refer to all original data & documentation generated during the conduct of a non-clinical laboratory study.
- These include the study protocol, raw data, observations, test results & instrument outputs.
- All records must be accurate, complete & signed by responsible personnel.
- Any correction made in the records should not erase original data & properly justified.

STORAGE & RETRIEVAL

- All records must be securely stored & archived after the completion of the study.
- Proper storage condition should be maintained to prevent loss, damage or unauthorized access.
- Record must be retained for a specified period as per regulatory requirements.

REPORTS

- A final study report must be prepared at the end of each non-clinical laboratory study.
- The report should provide a complete & accurate description of study.
- It generally includes:
 - Title & objective of the study
 - Details of test substances
 - Description of methods & procedures
 - Results & their interpretation
 - Conclusion of the study.

- The report must be signed by the Study Director, confirming the validity & integrity of the study.

DISQUALIFICATION OF TESTING FACILITIES

- Disqualification means a testing facility is not allowed to conduct non-clinical studies due to non-compliance with GLP.
- Reasons for Disqualification includes:
 - Failure to follow GLP principles
 - Submission of false or manipulated data
 - Poor record keeping
 - Lack of proper facilities or trained staff
 - Repeated non-compliance during inspections

PROCEDURE OF DISQUALIFICATION

- The regulatory authority conducts inspections to evaluate compliance.
- If significant deficiencies are identified:
 - The facility is issued a notice describing the non-compliance.
 - An opportunity is provided to take corrective actions.
 - If the facility fails to address the issues satisfactory, it may be formally disqualified.

REINSTATEMENT

- A disqualified facility may be reinstated only after:
 - Rectifying all deficiencies
 - Demonstrating full compliance with GLP standards.
 - Successful re-inspection by the regulatory authority.

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